

# Exhibit 4



(See Rule 45, Federal Rules of Civil Procedure, Parts C & D on Next Page)

AO 88 (Rev. 1/94) Subpoena in a Civil Case

PROOF OF SERVICE		
SERVED	DATE	PLACE
SERVED ON (PRINT NAME)		MANNER OF SERVICE
SERVED BY (PRINT NAME)		TITLE

**DECLARATION OF SERVER**

I declare under penalty of perjury under the laws of the United States of America that the foregoing information contained in the Proof of Service is true and correct.

Executed on \_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE OF SERVER

\_\_\_\_\_  
ADDRESS OF SERVER

\_\_\_\_\_

\_\_\_\_\_

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Rule 45, Federal Rules of Civil Procedure, Parts C & D:

(C) PROTECTION OF PERSONS SUBJECT TO SUBPOENAS.

(1) A party or an attorney responsible for the issuance and service of a subpoena shall take reasonable steps to avoid imposing undue burden or expense on a person subject to that subpoena. The court on behalf of which the subpoena was issued shall enforce this duty and impose upon the party or attorney in breach of this duty an appropriate sanction which may include, but is not limited to, lost earnings and reasonable attorney's fee.

(2) (A) A person commanded to produce and permit inspection and copying of designated books, papers, documents or tangible things, or inspection of premises need not appear in person at the place of production or inspection unless commanded to appear for deposition, hearing or trial.

(B) Subject to paragraph (d) (2) of this rule, a person commanded to produce and permit inspection and copying may within 14 days after service of subpoena or before the time specified for compliance if such time is less than 14 days after service, serve objection to inspection or copying of any or all of the designated materials or of the premises. If objection is made, the party service the subpoena shall not be entitled to inspect and copy materials or inspect the premises except pursuant to an order of the court by which the subpoena was issued. If objection has been made, the party serving the subpoena may, upon notice to the person commanded to produce, move at any time for an order to compel the production. Such an order to compel production shall protect any person who is not a party or an officer of a party from significant expense resulting from the inspection and copying commanded.

(3) (A) On timely motion, the court by which a subpoena was issued shall quash or modify the subpoena if it

- (i) fails to allow reasonable time for compliance;
- (ii) requires a person who is not a party or an officer of a party to travel to a place more than 100 miles from the place where that person resides, is employed or regularly transacts business in person, except that, subject to the provisions of clause (c) (3) (B) (iii) of this rule, such a person may in order to attend trial be commanded to travel from any such place within the state in which the trial is held, or
- (iii) requires disclosure of privileged or other protected matter and no exception or waiver applies, or
- (iv) subjects a person to undue burden.

(B) If a subpoena

- (i) requires disclosure of a trade secret or other confidential research, development, or commercial information, or
- (ii) requires disclosure of an unretained expert's opinion or information not describing specific events or occurrences in dispute and resulting from the expert's study made not at the request of any party, or
- (iii) requires a person who is not a party or an officer of a party to incur substantial expense to travel more than 100 miles to attend trial, the court may, to protect a person subject to or affected by the subpoena, quash or modify the subpoena, or, if the party in whose behalf the subpoena is issued shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship and assures that the person to whom the subpoena is addressed will be reasonably compensated, the court may order appearance or production only upon specified conditions.

(d) DUTIES IN RESPONDING TO SUBPOENA.

(1) A person responding to a subpoena to produce documents shall produce them as they are kept in the usual course of business or shall organize and label them to correspond with the categories in the demand.

(2) When information subject to a subpoena is withheld on a claim that it is privileged or subject to protection as trial preparation materials, the claim shall be made expressly and shall be supported by a description of the nature of the documents, communications, or things not produced that is sufficient to enable the demanding party to contest the claim.

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

IN RE PHARMACEUTICAL INDUSTRY  
AVERAGE WHOLESALE PRICE  
LITIGATION

THIS DOCUMENT RELATES TO  
ALL CLASS ACTIONS

MDL NO. 1456

CIVIL ACTION: 01-CV-12257-PBS

Judge Patti B. Saris

**NOTICE OF RULE 30(B)(6) DEPOSITION  
OF NATIONAL HERITAGE INSURANCE COMPANY**

TO: ALL COUNSEL OF RECORD

PLEASE TAKE NOTICE that pursuant to Rule 30(b)(6) of the Federal Rules of Civil Procedure, Defendants in the above-captioned actions, by and through their counsel, will take the deposition upon oral examination of a representative or representatives designated by National Heritage Insurance Company (hereinafter NHIC) to testify on behalf of NHIC concerning all matters described herein, before a Notary Public or other person authorized to administer oaths at the offices of Kirkpatrick & Lockhart Nicholson Graham LLP, 75 State Street, Boston, MA 02109, commencing on December 1, 2005, at 10:00 a.m. The deposition will be recorded by stenographic and/or sound and visual means and will continue from day to day until completed.

Pursuant to Rule 30(b)(6), NHIC shall designate in writing to the undersigned counsel for Defendants one or more officers, officials, employees, or other representatives to testify on its behalf who is or are most knowledgeable about and will testify as to matters known or reasonably available to NHIC in regard to the matters set forth in the attached Exhibit "A." NHIC is further requested to set forth the matter or matters on which each such designated person will testify.

Dated: October 28, 2005

/s/ Aimée E. Bierman

Michael DeMarco (BBO #119960)

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Aimée E. Bierman (BBO #640385)

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KIRKPATRICK & LOCKHART

NICHOLSON GRAHAM LLP

75 State Street

Boston, MA 02109-1808

(617) 261-3100

EXHIBIT "A"

DEFINITIONS

1. "NHIC" means National Heritage Insurance Company in its capacity as the Medicare Part B Carrier for Massachusetts from 1998 to the present and any of its past or present trustees, officials, officers, fiduciaries, representatives, agents, assigns, attorneys, employees, divisions, departments, affiliates, and all other persons or entities acting or purporting to act on its behalf or under its control.
2. "And" and "or" shall be construed either disjunctively or conjunctively as necessary to bring within the scope of the request any information that might otherwise be construed to be outside its scope.
3. "AWP" or "Average Wholesale Price" means the price for drugs as published by any entity, including the Drug Topics Red Book (the "Red Book"), American Druggist First Databank Annual Directory of Pharmaceuticals ("First DataBank"), Essential Directory of Pharmaceuticals (the "Blue Book") and Medi-Span's Master Drug Database ("Medi-Span").
4. "CMS" means the Centers for Medicare and Medicaid Services in its capacity as the federal agency formerly known as "HCFA" that administers the Medicare insurance program.
5. "Communication" means the transmittal of information (in the form of facts, ideas, inquiries, or otherwise).
6. "Concerning" means referring to, describing, evidencing, or constituting.

7. "Copy" or "Copies" when used in reference to a document means any color or black-and-white reproduction of a document, regardless of whether the reproduction is made by means of carbon paper pressure, sensitive paper, photostat, xerography, or other means or process.
8. "Document" means the original and each non-identical copy of a document in any medium, including electronic form, whether or not it was communicated to any person other than the author, and shall include but not be limited to, writings, printings, photographs, photocopies, tapes, recordings, video recordings, electronic data, e-mails, and any other symbolic representations in your possession, custody or control or known or believed by you to exist.
9. "EAC" or "Estimated Acquisition Cost" shall have the meaning ascribed to that term pursuant to 42 C.F.R. § 447.301.
10. "Government payor" means any federal or state government entity or program that reimburses Providers for drugs or health care services, including but not limited to CMS, Medicare, and Medicaid.
11. "HCFA" means the Health Care Financing Administration in its capacity as the federal agency now known as "CMS" that administered the Medicare insurance program.
12. "HCPCS" means Healthcare Common Procedure Coding System.
13. "Manufacturer" means a company that manufactures pharmaceutical products, including, without limitation, subject drugs.



14. "Medicare," "Medicare program" or "Medicare Part B" means the government reimbursement system for prescription pharmaceuticals under title XVIII of the Social Security Act, 42 U.S.C. § 1395 et seq.
15. "NDC" means the National Drug Code product identifier for a particular drug as listed in the National Drug Code Directory.
16. The terms "Participant" and "Beneficiary" mean a person for whom a health plan or health and welfare fund provides any medical or health insurance benefit.
17. "Person" means any natural person or any business, legal, or governmental entity or association.
18. "Provider" means any physician or entity that provides health care to any Participant or Beneficiary.
19. "Publisher" means an entity that publishes a listing of pharmaceutical prices, and includes publications identified in Health Care Financing Administration Program Memorandum AB-99-63 and includes FirstDataBank, Red Book, Blue Book and Medispan.
20. "Relating" means in any way concerning or referring to, consisting of, involving, regarding matter connected with the subject matter of the request.
21. "Statutory AWP ceiling" shall have the meanings that you ascribed to the term "average wholesale price" in 42 C.F.R. §§405.517, 414.707 or 414.904 while you were the Medicare Part B Carrier for Massachusetts.

22. "Subject drug" or "subject drugs" means one or more of the drugs listed on Exhibit "B" hereto.
23. "You" or "your" shall refer to Massachusetts BCBS.

### **INSTRUCTIONS**

1. Unless otherwise specifically stated, the requests below refer to the period of January 1, 1998 to the present in which NHIC has served as the Medicare Part B Carrier for Massachusetts.
2. The singular form of a noun or pronoun shall include within its meaning the plural form of the noun or pronoun and vice versa; the masculine form of a pronoun shall include within its meaning the feminine form of the pronoun and vice versa; and the use of any tense of any verb shall include within its meaning all other tenses of the verb.

### **AREAS OF INQUIRY**

1. Any and all instances in which submitted charges for Medicare Part B beneficiaries were not equal to the statutory AWP ceiling.
2. The publishers and/or sources you relied upon for AWP data, including the reasons for selecting or rejecting any publisher, the variability of AWP for the same NDC among publishers, and the frequency by which you obtained, received, utilized, adopted or updated AWP data from any publisher.
3. The policies, procedures and practices by which you converted or adjusted the AWP for the drug units submitted for reimbursement pursuant to HCFA Form 1500s with the units

published by any in the pricing publication upon which you relied for calculating or setting reimbursement payments.

4. The Local Medical Review Policies, Drug Utilization Reports (DURs) and EAC's you drafted, considered or issued for the subject drugs in your role as the Medicare Part B Carrier for Massachusetts.
5. Your claims processing policies and procedures for any subject drug in your role as the Medicare Part B Carrier for Massachusetts including:
  - (a) the methodologies considered, rejected or implemented for calculating or setting Medicare Part B, HCPCS-coded drug reimbursement rates;
  - (b) the potential or actual imposition of a requirement upon providers to identify NDCs in their Medicare Part B reimbursement claims or decisions not to impose any such requirement;
  - (c) the use of a single HCPCS-coded reimbursement rate for multiple forms, strengths, quantities or labelers of subject drugs;
  - (d) the use of any generic or miscellaneous HCPCS Code for subject drugs, including the methodologies for adjudicating claims for subject drugs submitted under such a code and how those claims are coded at the time of or after payment;
  - (e) the identification, mapping, matching or "cross-walking" of submitted HCPCS codes to the NDCs of the dispensed drugs;

- (f) the bundling of reimbursement for dispensed drugs with reimbursement for drug administration, services and supplies within a single Medicare Part B HCPCS-coded reimbursement rate;
  - (g) the reconciliation of, or adjustments to, reimbursement claims for the subject drugs; and,
  - (h) your appeals process and posting of adjusted claims for the subject drugs.
6. The processes by which you reported data to CMS concerning claims related to the subject drugs, and the methodology for updating adjustments that were made subsequent to payment of a claim.
7. Any attempts to standardize reimbursement procedures and rates among carriers.
8. Differentiation of adjudication procedures for providers who accept Medicare assignment compared to those who do not accept Medicare assignment.
9. Databases or other electronic formats in which claims data concerning the subject drugs is stored and processed. This would include knowledge of data entry, field definitions, field code definitions, computer operating systems and applications used to manipulate the data, and quality control procedures used to maintain the integrity of the dataset.

**EXHIBIT "B"****ALL DRUGS LISTED BELOW ARE SUBJECT TO THIS DEPOSITION NOTICE**

Abbott	A-Methapred, Acetylcysteine, Acyclovir, Amikacin Sulfate, Aminosyn, Calcijex, Cimetidine Hydrochloride, Clindamycin Phosphate, Destrose Sodium Chloride, Dextrose, Diazepam, Fentanyl Citrate, Furosemide, Gentamicin, Heparin, Leucovor, Liposyn II, Lorazepam, Sodium Chloride, Tobramycin Sulfate, Vancomycin HCL.
Amgen	Aranesp, Enbrel, Epogen, Kineret, Neulasta, Neupogen,
Astrazeneca	Pulmicort, Zoladex
Aventis	Anzemet, Taxotere
B. Braun	Dextrose, Dextrose in Lactated Ringers, Dextrose in Sodium Chloride, Heparin Sodium (porcine) in dsw, Sodium Chloride, Sodium Chloride (gu irrigant)
Baxter	Aggrastat, Ativan, Bebulin VH, Brevibloc, Buminate, Cisplatin, Claforan/D5W, Dextrose, Doxorubicin, Gammagard SD, Gentamicin, Gentran, Heparin, Iveegam, Osmitol, Recombinate, Sodium Chloride, Travasol, Vancocin HCL
Bayer Pharmaceutical	Cipro, DTIC-DOME, Gamimune N, Koate-HP, Kogenate, Mithracin,
B-M Squibb	Blenoxane, Cytoxan, Etopophos, Paraplatin Inj, Taxol, Vepesid
Centocor	Remicade
Cerenex	Imitrex, Zofran
Dey Labs	Albuterol, Acetylcysteine, Cromolyn Sodium, Ipratropium,
Fujisawa	Aristocort, Aristospan, Cefizox, Prograf, Vinblastine
Gensia	Amphotercin B, Etoposide, Leucovorin Calcium,
GlaxoSmithKline	Alkeran, Imitrex, Kytril, Myleran, Navelbine, Retrovir, Ventolin HFA, Zantac, Zofran, Zovirax

Immunex	Leucovorin Calcium, Leukine, Novantrone, Thioplex,
Novartis	Miacalcin,
Ortho Biotech Products	Procrit
Pfizer	Dilantin, Zithromax
Pharmacia	Adriamycin PFS, RDF, Adrucil, Amphocin, Amphotericin B, Cytarabine, Depo-Testosterone, Etoposide, Neosar, Solu-Cortef, Solu-Medrol, Toposar, Vincasar PFS
Schering	Integrilin, Intron-A, Proventil, Temodar
Warrick	Albuterol, Perphenazine, Sodium Chloride
Sicor	Doxorubicin, Etoposide, Leucovorin Calcium, Tobramycin Sulfate,
Watson	Dexamethasone, Diazepam, Ferlecit, Infed, Lorazepam
ZLB Behring f/k/a Aventis Behring	Gammar PIV

**CERTIFICATE OF SERVICE**

I hereby certify that on October 28, 2005, I caused a true and correct copy of the foregoing to be served on all counsel of record by electronic service pursuant to Case Management Order No. 2 entered by the Honorable Patti B. Saris in MDL 1456.

/s/ Aimée E. Bierman  
Aimée E. Bierman